

# On supercyclicity of operators from a supercyclic semigroup

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## Abstract

We show that for every supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \geq 0}$  acting on a complex  $\mathcal{F}$ -space, every  $T_t$  with  $t > 0$  is supercyclic. Moreover, the set of supercyclic vectors of each  $T_t$  with  $t > 0$  is exactly the set of supercyclic vectors of the entire semigroup.

**MSC:** 47A16, 37A25

**Keywords:** Hypercyclic semigroups, hypercyclic operators, supercyclic operators, supercyclic semigroups

## 1 Introduction

Unless stated otherwise, all vector spaces in this article are over the field  $\mathbb{K}$ , being either the field  $\mathbb{C}$  of complex numbers or the field  $\mathbb{R}$  of real numbers and all topological spaces *are assumed to be Hausdorff*. As usual,  $\mathbb{Z}_+$  is the set of non-negative integers,  $\mathbb{N}$  is the set of positive integers and  $\mathbb{R}_+$  is the set of non-negative real numbers. The symbol  $L(X)$  stands for the space of continuous linear operators on a topological vector space  $X$ , while  $X'$  is the space of continuous linear functionals on  $X$ . As usual, for  $T \in L(X)$ , the dual operator  $T' : X' \rightarrow X'$  is defined by the formula  $T'f(x) = f(Tx)$  for  $x \in X$  and  $f \in X'$ . Recall that an *affine* map  $T$  on a vector space  $X$  is a map of the shape  $Tx = u + Sx$ , where  $u$  is a fixed vector in  $X$  and  $S : X \rightarrow X$  is linear. Clearly,  $T$  is continuous if and only if  $S$  is continuous. The symbol  $A(X)$  stands for the space of continuous affine maps on a topological vector space  $X$ . An  $\mathcal{F}$ -space is a complete metrizable topological vector space. Recall that a family  $\mathcal{F} = \{T_a\}_{a \in A}$  of continuous maps from a topological space  $X$  to a topological space  $Y$  is called *universal* if there is  $x \in X$  for which  $\{T_ax : a \in A\}$  is dense in  $Y$  and such an  $x$  is called a *universal element* for  $\mathcal{F}$ . We use the symbol  $\mathcal{U}(\mathcal{F})$  for the set of universal elements for  $\mathcal{F}$ . If  $X$  is a topological space and  $T : X \rightarrow X$  is a continuous map, then we say that  $x \in X$  is *universal* for  $T$  if  $x$  is universal for the family  $\{T^n : n \in \mathbb{Z}_+\}$ . We denote the set of universal elements for  $T$  by  $\mathcal{U}(T)$ . A family  $\mathcal{F} = \{T_t\}_{t \in \mathbb{R}_+}$  of continuous maps from a topological space  $X$  to itself is called a *semigroup* if  $T_0 = I$  and  $T_{t+s} = T_t T_s$  for every  $t, s \in \mathbb{R}_+$ . We say that a semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  is *strongly continuous* if  $t \mapsto T_t x$  is continuous as a map from  $\mathbb{R}_+$  to  $X$  for every  $x \in X$  and we say that  $\{T_t\}_{t \in \mathbb{R}_+}$  is *jointly continuous* if  $(t, x) \mapsto T_t x$  is continuous as a map from  $\mathbb{R}_+ \times X$  to  $X$ . If  $X$  is a topological vector space, we call a semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  a *linear semigroup* if  $T_t \in L(X)$  for every  $t \in \mathbb{R}_+$  and  $\{T_t\}_{t \in \mathbb{R}_+}$  is called an *affine semigroup* if  $T_t \in A(X)$  for every  $t \in \mathbb{R}_+$ . Recall that  $T \in L(X)$  is called *hypercyclic* if  $\mathcal{U}(T) \neq \emptyset$  and elements of  $\mathcal{U}(T)$  are called *hypercyclic vectors*. A universal linear semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  is called *hypercyclic* and its universal elements are called *hypercyclic vectors* for  $\{T_t\}_{t \in \mathbb{R}_+}$ . If  $T \in L(X)$ , then universal elements of the family  $\{zT^n x : z \in \mathbb{K}, n \in \mathbb{Z}_+\}$  are called *supercyclic vectors* for  $T$  and  $T$  is called *supercyclic* if it has a supercyclic vector. Similarly, if  $\{T_t\}_{t \in \mathbb{R}_+}$  is a linear semigroup, then a universal element of the family  $\{zT_t : z \in \mathbb{K}, t \in \mathbb{R}_+\}$  is called a *supercyclic vector* for  $\{T_t\}_{t \in \mathbb{R}_+}$  and the semigroup is called *supercyclic* if it has a supercyclic vector.

Hypercyclicity and supercyclicity have been intensely studied during the last few decades, see [1] and references therein. Our concern is the relation between the supercyclicity of a linear semigroup and supercyclicity of the individual members of the semigroup. The hypercyclicity version of the question was treated by Conejero, Müller and Peris [3], who proved that for every

strongly continuous hypercyclic linear semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on an  $\mathcal{F}$ -space, each  $T_s$  with  $s > 0$  is hypercyclic and  $\mathcal{U}(T_s) = \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ . Virtually the same proof works in the following much more general setting [1, Chapter 3].

**Theorem A.** *Let  $\{T_t\}_{t \in \mathbb{R}_+}$  be a hypercyclic jointly continuous linear semigroup on any topological vector space  $X$ . Then each  $T_s$  with  $s > 0$  is hypercyclic and  $\mathcal{U}(T_s) = \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ .*

The stronger condition of joint continuity coincides with the strong continuity in the case when  $X$  is an  $\mathcal{F}$ -space due to a straightforward application of the Banach–Steinhaus theorem. The essential part of the proofs in [3, 1] does not really need linearity. It is based on a homotopy-type argument and goes through without any changes (under certain assumptions) for semigroups of non-linear maps. Recall that a topological space  $X$  is called *connected* if it has no subsets different from  $\emptyset$  and  $X$ , which are closed and open and it is called *simply connected* if for any continuous map  $f : \mathbb{T} \rightarrow X$ , there is a continuous map  $F : \mathbb{T} \times [0, 1] \rightarrow X$  and  $x_0 \in X$  such that  $F(z, 0) = f(z)$  and  $F(z, 1) = x_0$  for any  $z \in \mathbb{T}$ . Next,  $X$  is called *locally path connected* at  $x \in X$  if for any neighborhood  $U$  of  $x$ , there is a neighborhood  $V$  of  $x$  such that for any  $y \in V$ , there is a continuous map  $f : [0, 1] \rightarrow X$  satisfying  $f(0) = x$ ,  $f(1) = y$  and  $f([0, 1]) \subseteq U$ . A space  $X$  is called *locally path connected* if it is locally path connected at every point. Just listing the conditions needed for the proof in [3, 1] to run smoothly, we get the following result.

**Proposition 1.1.** *Let  $X$  be a topological space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a jointly continuous semigroup on  $X$  such that*

- (1)  $\{T_t u : t \in [0, c]\}$  *is nowhere dense in  $X$  for every  $c > 0$  and  $u \in X$ ;*
- (2) *for every  $c > 0$  and  $x \in \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ , there is  $Y_{c,x} \subseteq X$  such that  $Y_{c,x}$  is connected, locally path connected, simply connected and  $\{T_t x : t \in [0, c]\} \subseteq Y_{c,x} \subseteq \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ .*

*Then  $\mathcal{U}(T_s) = \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$  for every  $s > 0$ .*

The natural question whether the supercyclicity version of Theorem A holds was touched by Bernal-González and Grosse-Erdmann in [2]. They have produced the following example.

**Example B.** *Let  $X$  be a Banach space over  $\mathbb{R}$ ,  $\{T_t\}_{t \in \mathbb{R}_+}$  be a hypercyclic linear semigroup on  $X$  and  $A_t \in L(\mathbb{R}^2)$  for  $t \in \mathbb{R}_+$  be the linear operator with the matrix  $A = \begin{pmatrix} \cos t & \sin t \\ -\sin t & \cos t \end{pmatrix}$ . Then  $\{A_t \oplus T_t\}_{t \in \mathbb{R}_+}$  is a supercyclic linear semigroup on  $\mathbb{R}^2 \times X$ , while  $A_t \oplus T_t$  is non-supercyclic whenever  $\frac{t}{\pi}$  is rational.*

Example B shows that the natural supercyclicity version of Theorem A fails in the case  $\mathbb{K} = \mathbb{R}$ . In the complex case, the following partial result was obtained by Bayart and Matheron [1, p. 73].

**Proposition C.** *Let  $X$  be a complex topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a supercyclic jointly continuous linear semigroup on  $X$  such that  $T_t - \lambda I$  has dense range for every  $t > 0$  and every  $\lambda \in \mathbb{C}$ . Then each  $T_t$  with  $t > 0$  is supercyclic. Moreover, the set of supercyclic vectors for  $T_t$  does not depend on the choice of  $t > 0$  and coincides with the set of supercyclic vectors of the entire semigroup.*

The argument in [1] is another adaptation of the proof in [3], however one can obtain the same result directly by considering the induced action on subsets of the projective space and applying Proposition 1.1. We will show that in the case  $\mathbb{K} = \mathbb{C}$ , the supercyclicity version of Theorem A holds without any additional assumptions.

**Theorem 1.2.** *Let  $X$  be a complex topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a supercyclic jointly continuous linear semigroup on  $X$ . Then each  $T_s$  with  $s > 0$  is supercyclic and the set of supercyclic vectors of  $T_s$  coincides with the set of supercyclic vectors of  $\{T_t\}_{t \in \mathbb{R}_+}$ .*

It turns out that any supercyclic jointly continuous linear semigroup on a complex topological vector space  $X$  either satisfies conditions of Proposition C or has a closed invariant hyperplane  $Y$ . In the latter case the issue reduces to the following generalization of Theorem A to affine semigroups.

**Theorem 1.3.** *Let  $X$  be a topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a universal jointly continuous affine semigroup on  $X$ . Then each  $T_s$  with  $s > 0$  is universal and  $\mathcal{U}(T_s) = \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ .*

## 2 A dichotomy for supercyclic linear semigroups

An analogue of the following result for individual supercyclic operators is well-known [1].

**Proposition 2.1.** *Let  $X$  be a complex topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a supercyclic strongly continuous linear semigroup on  $X$ . Then either  $(T_t - \lambda I)(X)$  is dense in  $X$  for every  $t > 0$  and  $\lambda \in \mathbb{C}$  or there is a closed hyperplane  $H$  in  $X$  such that  $T_t(H) \subseteq H$  for every  $t \in \mathbb{R}_+$ .*

The most of the section is devoted to the proof of Proposition 2.1. We need several elementary lemmas. Recall that a subset  $B$  of a vector space  $X$  is called *balanced* if  $\lambda x \in B$  for every  $x \in B$  and  $\lambda \in \mathbb{K}$  such that  $|\lambda| \leq 1$ .

**Lemma 2.2.** *Let  $K$  be a compact subset of an infinite dimensional topological vector space and  $X$  such that  $0 \notin K$ . Then  $\Lambda = \{\lambda x : \lambda \in \mathbb{K}, x \in K\}$  is a closed nowhere dense subset of  $X$ .*

*Proof.* Closeness of  $\Lambda$  in  $X$  is a straightforward exercise. Assume that  $\Lambda$  is not nowhere dense. Since  $\Lambda$  is closed, its interior  $L$  is non-empty. Since  $K$  is closed and  $0 \notin K$ , we can find a non-empty balanced open set  $U$  such that  $U \cap K = \emptyset$ . Clearly  $\lambda x \in L$  whenever  $x \in L$  and  $\lambda \in \mathbb{K}$ ,  $\lambda \neq 0$ . Since  $U$  is open and balanced the latter property of  $L$  implies that the open set  $W = L \cap U$  is non-empty. Taking into account the definition of  $\Lambda$ , the inclusion  $L \subseteq \Lambda$ , the equality  $U \cap K = \emptyset$  and the fact that  $U$  is balanced, we see that every  $x \in W$  can be written as  $x = \lambda y$ , where  $y \in K$  and  $\lambda \in \mathbb{D} = \{z \in \mathbb{K} : |z| \leq 1\}$ . Since both  $K$  and  $\mathbb{D}$  are compact,  $Q = \{\lambda y : \lambda \in \mathbb{D}, y \in K\}$  is a compact subset of  $X$ . Since  $W \subseteq Q$ ,  $W$  is a non-empty open set with compact closure. Such a set exists [7] only if  $X$  is finite dimensional. This contradiction completes the proof.  $\square$

The following lemma is a particular case of Lemma 5.1 in [6].

**Lemma 2.3.** *Let  $X$  be a complex topological vector space such that  $2 \leq \dim X < \infty$ . Then  $X$  supports no supercyclic strongly continuous linear semigroups.*

**Lemma 2.4.** *Let  $X$  be an infinite dimensional topological vector space,  $\lambda \in \mathbb{K}$ ,  $t_0 > 0$  and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a strongly continuous linear semigroup such that  $T_{t_0} = \lambda I$ . Then  $\{T_t\}_{t \in \mathbb{R}_+}$  is not supercyclic.*

*Proof.* Let  $x \in X \setminus \{0\}$ . It suffices to show that  $x$  is not a supercyclic vector for  $\{T_t\}_{t \in \mathbb{R}_+}$ .

First, we consider the case  $\lambda = 0$ . By the strong continuity, there is  $s > 0$  such that  $0 \notin K = \{T_t x : t \in [0, s]\}$  and  $K$  is a compact subset of  $X$ . By Lemma 2.2,  $A = \{z T_t x : z \in \mathbb{K}, t \in [0, s]\}$  is nowhere dense in  $X$ . Take  $n \in \mathbb{N}$  such that  $ns \geq t_0$ . Since  $T_{t_0} = 0$  and  $ns \geq t_0$ , we have  $T_s^n = T_{ns} = 0$ . Then  $Y = \overline{T_s(X)} \neq X$ . In particular,  $Y$  is nowhere dense in  $X$ . Clearly,  $T_t x \in Y$  whenever  $t \geq s$ . Hence  $\{z T_t x : t \in \mathbb{R}_+, z \in \mathbb{K}\}$  is contained in  $A \cup Y$  and therefore is nowhere dense in  $X$ . Thus  $x$  is not a supercyclic vector for  $\{T_t\}_{t \in \mathbb{R}_+}$ .

Assume now that  $\lambda \neq 0$ . Then  $T_{t_0 n} x = \lambda^n x \neq 0$  for every  $n \in \mathbb{Z}_+$ . Hence each of the compact sets  $K_n = \{T_t x : t_0 n \leq t \leq t_0(n+1)\}$  with  $n \in \mathbb{Z}_+$  does not contain 0. By Lemma 2.2, the sets  $A_n = \{z T_t x : z \in \mathbb{C}, t_0 n \leq t \leq t_0(n+1)\}$  are nowhere dense in  $X$ . On the other hand, for every  $t \in [t_0 n, t_0(n+1)]$ ,  $T_{t+t_0} x = T_t T_{t_0} x = \lambda T_t x$  and therefore  $A_n = A_{n+1}$  for each  $n \in \mathbb{Z}_+$ . Hence  $\{z T_t x : t \in \mathbb{R}_+, z \in \mathbb{K}\}$ , which is clearly the union of  $A_n$ , coincides with  $A_1$  and therefore is nowhere dense. Thus  $x$  is not a supercyclic vector for  $\{T_t\}_{t \in \mathbb{R}_+}$ .  $\square$

**Lemma 2.5.** *Let  $X$  be a complex topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a supercyclic strongly continuous linear semigroup on  $X$ . Let also  $t_0 > 0$  and  $\lambda \in \mathbb{C}$ . Then the space  $Y = \overline{(T_{t_0} - \lambda I)(X)}$  either coincides with  $X$  or is a closed hyperplane in  $X$ .*

*Proof.* Using the semigroup property, it is easy to see that  $Y$  is invariant for each  $T_t$ . Factoring  $Y$  out, we arrive to a supercyclic strongly continuous linear semigroup  $\{S_t\}_{t \in \mathbb{R}_+}$  acting on  $X/Y$ , where  $S_t(x + Y) = T_t x + Y$ . Obviously,  $S_{t_0} = \lambda I$ . If  $X/Y$  is infinite dimensional, we arrive to a contradiction with Lemma 2.4. If  $X/Y$  is finite dimensional and  $\dim X/Y \geq 2$ , we obtain a contradiction with Lemma 2.3. Thus  $\dim X/Y \leq 1$ , as required.  $\square$

*Proof of Proposition 2.1.* Assume that there is  $t > 0$  and  $\lambda \in \mathbb{K}$  such that  $(T_t - \lambda I)(X)$  is not dense in  $X$ . By Lemma 2.5,  $H = \overline{(T_t - \lambda I)(X)}$  is a closed hyperplane in  $X$ . It is easy to see that  $H$  is invariant for every  $T_t$ .  $\square$

The following lemma provides some extra information on the second case in Proposition 2.1.

**Lemma 2.6.** *Let  $X$  be a complex topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a strongly continuous linear semigroup on  $X$ . Assume also that there is a closed hyperplane  $H$  in  $X$  such that  $T_t(H) \subseteq H$  for every  $t \in \mathbb{R}_+$  and let  $f \in X'$  be such that  $H = \ker f$ . Then there exists  $w \in \mathbb{C}$  such that  $e^{wt} T'_t f = f$  for every  $t \in \mathbb{R}_+$ .*

*Proof.* Since  $H = \ker f$  is invariant for every  $T_t$ , there is a unique function  $\varphi : \mathbb{R}_+ \rightarrow \mathbb{C}$  such that  $T'_t f = \varphi(t) f$  for every  $t \in \mathbb{R}_+$ . Pick  $u \in X$  such that  $f(u) = 1$ . Then  $(T'_t f)(u) = f(T_t u) = \varphi(t)$  for every  $t \in \mathbb{R}_+$ . Since  $\{T_t\}_{t \in \mathbb{R}_+}$  is strongly continuous,  $\varphi$  is continuous. The semigroup property for  $\{T_t\}_{t \in \mathbb{R}_+}$  implies the semigroup property for the dual operators:  $T'_0 = I$  and  $T'_{t+s} = T'_t T'_s$  for every  $t, s \in \mathbb{R}_+$ . Together with the equality  $T'_t f = \varphi(t) f$ , it implies that  $\varphi(0) = 1$  and  $\varphi(t + s) = \varphi(t) \varphi(s)$  for every  $t, s \in \mathbb{R}_+$ . The latter and the continuity of  $\varphi$  means that there is  $w \in \mathbb{C}$  such that  $\varphi(t) = e^{-wt}$  for each  $t \in \mathbb{R}_+$ . Thus  $e^{wt} T'_t f = f$  for  $t \in \mathbb{R}_+$ , as required.  $\square$

### 3 Supercyclicity versus universality of affine maps

In this section we relate the supercyclicity of an operator or a semigroup in the case of the existence of an invariant hyperplane and the universality of an affine map or an affine semigroup. We start with the following general lemma.

**Lemma 3.1.** *Let  $X$  be a topological vector space,  $u \in X$ ,  $f \in X' \setminus \{0\}$ ,  $f(u) = 1$  and  $H = \ker f$ . Assume also that  $\{T_a\}_{a \in A}$  is a family of continuous linear operators on  $X$  such that  $T'_a f = f$  for each  $a \in A$ . Then the family  $\mathcal{F} = \{zT_a : z \in \mathbb{K}, a \in A\}$  is universal if and only if the family  $\mathcal{G} = \{R_a\}_{a \in A}$  of affine maps  $R_a : H \rightarrow H$ ,  $R_a x = (T_a u - u) + T_a x$  is universal on  $H$ . Moreover,  $x \in X$  is universal for  $\mathcal{F}$  if and only if  $x = \lambda(u + w)$ , where  $\lambda \in \mathbb{K} \setminus \{0\}$  and  $w$  is universal for  $\mathcal{G}$ . Next, if  $A = \mathbb{Z}_+$  and  $T_a = T_1^a$  for every  $a \in \mathbb{Z}_+$ , then  $R_a = R_1^a$  for every  $a \in \mathbb{Z}_+$ . Finally, if  $A = \mathbb{R}_+$  and  $\{T_a\}_{a \in \mathbb{R}_+}$  is a strongly (respectively, jointly) continuous linear semigroup, then  $\{R_a\}_{a \in \mathbb{R}_+}$  is a strongly (respectively, jointly) continuous affine semigroup.*

*Proof.* Since  $T_a(H) \subseteq H$  for every  $a$ , vectors from  $H$  can not be universal for  $\mathcal{F}$ . Obviously, they also do not have the form  $\lambda(u + w)$  with  $\lambda \in \mathbb{K} \setminus \{0\}$  and  $w \in H$ .

Now let  $x_0 \in X \setminus H$ . Then  $f(x_0) \neq 0$  and therefore  $x = \frac{x_0}{f(x_0)} \in u + H$ . Since  $T_a(u + H) \subseteq u + H$  for every  $a \in A$ ,  $O = \{T_a x : a \in A\} \subseteq u + H$ . It is straightforward to see that  $x_0$  is universal for  $\mathcal{F}$  if and only if  $O$  is dense in  $u + H$ . That is,  $x_0$  is universal for  $\mathcal{F}$  if and only if  $x$  is universal for the family  $\{Q_a\}_{a \in A}$ , where each  $Q_a : u + H \rightarrow u + H$  is the restriction of  $T_a$  to the invariant subset  $u + H$ . Obviously, the translation map  $\Phi : H \rightarrow u + H$ ,  $\Phi(y) = u + y$  is a homeomorphism and  $R_a = \Phi^{-1} Q_a \Phi$  for every  $a \in A$ . It follows that  $x_0$  is universal for  $\mathcal{F}$  if and only if  $\Phi^{-1} x = x - u$  is

universal for  $\mathcal{G}$ . Denoting  $w = x - u$ , we see that the latter happens if and only if  $x_0 = f(x_0)(u + w)$  with  $w \in \mathcal{U}(\mathcal{G})$ .

Since  $Q_a$  are the restrictions of  $T_a$  to the invariant subset  $u + H$  and  $R_a$  are similar to  $Q_a$  with the similarity independent on  $a$ ,  $\{R_a\}$  inherits all the semigroup or continuity properties from  $\{T_a\}$ . The proof is complete.  $\square$

The following two lemmas are particular cases of Lemma 3.1.

**Lemma 3.2.** *Let  $X$  be a topological vector space,  $u \in X$ ,  $f \in X' \setminus \{0\}$ ,  $f(u) = 0$  and  $H = \ker f$ . Then  $T \in L(X)$  satisfying  $T'f = f$  is supercyclic if and only if the map  $R : H \rightarrow H$ ,  $Rx = (Tu - u) + Tx$  is universal. Moreover,  $x \in X$  is a supercyclic vector for  $T$  if and only if  $x = \lambda(u + w)$ , where  $\lambda \in \mathbb{K} \setminus \{0\}$  and  $w \in \mathcal{U}(R)$ .*

**Lemma 3.3.** *Let  $X$  be a topological vector space,  $u \in X$ ,  $f \in X' \setminus \{0\}$ ,  $f(u) = 1$  and  $H = \ker f$ . Then a strongly (respectively, jointly) continuous linear semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$  satisfying  $T'_t f = f$  for  $t \in \mathbb{R}_+$  is supercyclic if and only if the strongly (respectively, jointly) continuous affine semigroup  $\{R_t\}_{t \in \mathbb{R}_+}$  on  $H$  defined by  $R_t x = (T_t u - u) + T_t x$  is universal. Moreover,  $x \in X$  is a supercyclic vector for  $\{T_t\}_{t \in \mathbb{R}_+}$  if and only if  $x = \lambda(u + w)$ , where  $\lambda \in \mathbb{K} \setminus \{0\}$  and  $w \in \mathcal{U}(\{R_t\}_{t \in \mathbb{R}_+})$ .*

## 4 Universality of affine semigroups

The proof of the following lemma is a matter of an easy routine verification.

**Lemma 4.1.** *Let  $X$  be a topological vector space,  $\{T_t\}_{t \in \mathbb{R}_+}$  be a collection of continuous affine maps on  $X$ ,  $\{S_t\}_{t \in \mathbb{R}_+}$  be a collection of continuous linear operators on  $X$  and  $t \mapsto w_t$  be a map from  $\mathbb{R}_+$  to  $X$  such that  $T_t x = w_t + S_t x$  for every  $t \in \mathbb{R}_+$  and  $x \in X$ .*

*Then  $\{T_t\}_{t \in \mathbb{R}_+}$  is an affine semigroup if and only if  $\{S_t\}_{t \in \mathbb{R}_+}$  is a linear semigroup,*

$$w_0 = 0 \text{ and } w_{t+s} = w_t + S_t w_s \text{ for every } s, t \in \mathbb{R}_+. \quad (4.1)$$

*Moreover, the semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  is strongly continuous if and only if  $\{S_t\}_{t \in \mathbb{R}_+}$  is strongly continuous and the map  $t \mapsto w_t$  is continuous. Finally, the semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  is jointly continuous if and only if  $\{S_t\}_{t \in \mathbb{R}_+}$  is jointly continuous and the map  $t \mapsto w_t$  is continuous.*

**Lemma 4.2.** *Let  $X$  be a topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a universal strongly continuous affine semigroup on  $X$ . Then  $(I - T_t)(X)$  is dense in  $X$  for every  $t > 0$ .*

*Proof.* Assume the contrary. Then there is  $s > 0$  such that  $Y_0 \neq X$ , where  $Y_0 = \overline{(I - T_s)(X)}$ . Let  $Y$  be a translation of  $Y_0$ , containing 0:  $Y = Y_0 - u_0$  with  $u_0 \in Y_0$ . It is easy to see that, factoring out the closed linear subspace  $Y$ , we arrive to the universal strongly continuous affine semigroup  $\{F_t\}_{t \in \mathbb{R}_+}$  on  $X/Y$ , where  $F_t(x + Y) = T_t x + Y$  for every  $t \in \mathbb{R}_+$  and  $x \in X$ . By definition of  $Y$ , the linear part of  $F_s$  is  $I$ . Let  $\alpha \in X/Y$  be a universal vector for  $\{F_t\}_{t \in \mathbb{R}_+}$ . By Lemma 4.1, there is a strongly continuous linear semigroup  $\{G_t\}_{t \in \mathbb{R}_+}$  on  $X/Y$  and a continuous map  $t \mapsto \gamma_t$  from  $\mathbb{R}_+$  to  $X/Y$  such that  $\gamma_0 = 0$ ,  $F_t \beta = G_t \beta + \gamma_t$  and  $\gamma_{r+t} = \gamma_r + G_r \gamma_t = \gamma_t + G_t \gamma_r$  for every  $\beta \in X/Y$  and  $r, t \in \mathbb{R}_+$ . Using these relations and the equality  $G_s = I$ , we obtain that  $F_{t+ns} \alpha = F_t \alpha + n \gamma_s$  for every  $n \in \mathbb{Z}_+$  and  $t \in \mathbb{R}_+$ . It follows that

$$\{F_t \alpha : t \in \mathbb{R}_+\} = K + \mathbb{Z}_+ \gamma_s, \quad \text{where } K = \{F_t \alpha : t \in [0, s]\}.$$

Since  $\alpha$  is universal for  $\{F_t\}_{t \in \mathbb{R}_+}$ , by the last display,  $O = K + \mathbb{Z}_+ \gamma_s$  is dense in  $X/Y$ . Since  $O$  is closed as a sum of a compact set and a closed set,  $O = X/Y$ . On the other hand,  $O$  does not contain  $-c \gamma_s$  for any sufficiently large  $c > 0$ . This contradiction completes the proof.  $\square$

**Lemma 4.3.** *Let  $X$  be a topological vector space,  $x \in X$ ,  $s > 0$  and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a universal affine semigroup on  $X$ . Assume also that  $T_t x = S_t x + w_t$ , where  $\{S_t\}_{t \in \mathbb{R}_+}$  strongly continuous linear semigroup on  $X$  and  $t \mapsto w_t$  is a continuous map from  $\mathbb{R}_+$  to  $X$ . Then  $\{S_t\}_{t \in \mathbb{R}_+}$  is hypercyclic. Moreover,  $\mathcal{U}(\{S_t\}_{t \in \mathbb{R}_+}) \cap (w_s + (I - S_s)(X)) \neq \emptyset$  for every  $s > 0$ .*

*Proof.* Let  $x \in \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$  and fix  $s > 0$ . By Lemma 4.2,  $(T_s - I)(X)$  is dense in  $X$ . Hence  $O = \{(T_s - I)T_t x : t \in \mathbb{R}_+\}$  is dense in  $X$ . Using the semigroup property of  $\{T_t\}_{t \in \mathbb{R}_+}$  and  $\{S_t\}_{t \in \mathbb{R}_+}$  together with (4.1), we get

$$(T_s - I)T_t x = S_s S_t x + S_s w_t + w_s - S_t x - w_t = S_t S_s x + S_t w_s - S_t x = S_t(w_s - (I - S_s)x)$$

for every  $t \in \mathbb{R}_+$ . By the above display,  $O$  is exactly the  $S_t$ -orbit of  $w_s - (I - S_s)x$ . Since  $O$  is dense in  $X$ ,  $w_s - (I - S_s)x \in w_s + (I - S_s)(X)$  is a hypercyclic vector for  $\{S_t\}_{t \in \mathbb{R}_+}$  and therefore  $\mathcal{U}(\{S_t\}_{t \in \mathbb{R}_+}) \cap (w_s + (I - S_s)(X)) \neq \emptyset$ .  $\square$

**Lemma 4.4.** *Let  $X$  be a topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be an affine semigroup on  $X$ . Then for every  $t_1, \dots, t_n \in \mathbb{R}_+$  and every  $z_1, \dots, z_n \in \mathbb{K}$  satisfying  $z_1 + \dots + z_n = 1$ , the map  $S = z_1 T_{t_1} + \dots + z_n T_{t_n}$  commutes with every  $T_t$ .*

*Proof.* It is easy to verify that for every affine map  $A : X \rightarrow X$  and every  $x_1, \dots, x_n \in X$ ,

$$A(z_1 x_1 + \dots + z_n x_n) = z_1 A x_1 + \dots + z_n A x_n \quad \text{provided } z_j \in \mathbb{K} \text{ and } z_1 + \dots + z_n = 1.$$

Let  $t \in \mathbb{R}_+$ . By the above display,  $T_t S = z_1 T_t T_{t_1} + \dots + z_n T_t T_{t_n}$ . Since  $T_\tau$  commute with each other, we get  $T_t S = z_1 T_{t_1} T_t + \dots + z_n T_{t_n} T_t = S T_t$ .  $\square$

**Lemma 4.5.** *Let  $X$  be a topological vector space,  $\{T_t\}_{t \in \mathbb{R}_+}$  be a universal strongly continuous affine semigroup on  $X$  and  $x \in \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ . Then  $\Lambda(x) \subseteq \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ , where*

$$\Lambda(x) = \{z_1 T_{t_1} x + \dots + z_n T_{t_n} x : n \in \mathbb{N}, t_j \in \mathbb{R}_+, z_j \in \mathbb{K}, z_1 + \dots + z_n = 1\}. \quad (4.2)$$

*Proof.* Let  $n \in \mathbb{N}$ ,  $t_1, \dots, t_n \in \mathbb{R}_+$ ,  $z_1, \dots, z_n \in \mathbb{K}$  and  $z_1 + \dots + z_n = 1$ . We have to show that  $Ax \in \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ , where  $A = z_1 T_{t_1} + \dots + z_n T_{t_n}$ . By Lemma 4.4,  $A$  commutes with each  $T_t$ . Since  $x \in \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ , it suffices to verify that  $A(X)$  is dense in  $X$ . By Lemma 4.1, we can write  $T_t y = S_t y + w_t$  for every  $y \in X$ , where  $\{S_t\}_{t \in \mathbb{R}_+}$  is a strongly continuous linear semigroup on  $X$  and  $t \mapsto w_t$  is a continuous map from  $\mathbb{R}_+$  to  $X$ . By Lemma 4.3,  $\{S_t\}_{t \in \mathbb{R}_+}$  is hypercyclic. As shown in [3], every non-trivial linear combination of members of a hypercyclic strongly continuous linear semigroup has dense range. Thus  $B = z_1 S_{t_1} + \dots + z_n S_{t_n}$  has dense range. Since  $A(X)$  is a translation of  $B(X)$ ,  $A(X)$  is also dense in  $X$ , which completes the proof.  $\square$

*Proof of Theorem 1.3.* Let  $X$  be a topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a universal jointly continuous affine semigroup on  $X$ . Lemmas 4.1 and 4.3 provide a hypercyclic jointly continuous linear semigroup on  $X$ . By Theorem A, there is a hypercyclic continuous linear operator on  $X$ . Since no such thing exists on a finite dimensional topological vector space [8],  $X$  is infinite dimensional. Since any compact subspace of an infinite dimensional topological vector space is nowhere dense [7], condition (1) of Proposition 1.1 is satisfied. Now let  $x \in \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$ . By Lemma 4.5, the set  $\Lambda(x)$  defined in (4.2) consists entirely of universal vectors for  $\{T_t\}_{t \in \mathbb{R}_+}$ . Clearly,  $\{T_t x : t \in \mathbb{R}_+\} \subseteq \Lambda(x)$ . By its definition,  $\Lambda(x)$  is an affine subspace (=a translation of a linear subspace) of  $X$ . Since every affine subspace of a topological vector space is connected, locally path connected and simply connected,  $\Lambda(x)$  satisfies all requirements for the set  $Y_{c,x}$  (for every  $c > 0$ ) from condition (2) in Proposition 1.1. By Proposition 1.1,  $\mathcal{U}(T_s) = \mathcal{U}(\{T_t\}_{t \in \mathbb{R}_+})$  for every  $s > 0$ , as required.  $\square$

## 5 Proof of Theorem 1.2

Let  $X$  be a complex topological vector space and  $\{T_t\}_{t \in \mathbb{R}_+}$  be a supercyclic jointly continuous linear semigroup on  $X$ . We have to prove that each  $T_s$  with  $s > 0$  is supercyclic and the set of supercyclic vectors of  $T_s$  coincides with the set of supercyclic vectors of  $\{T_t\}_{t \in \mathbb{R}_+}$ . If  $T_t - \lambda I$  has dense range for every  $t > 0$  and every  $\lambda \in \mathbb{C}$ , then Proposition C provides the required result. Otherwise, by Proposition 2.1, there is a closed hyperplane  $H$  in  $X$  invariant for every  $T_t$ . By Lemma 2.6, there are  $f \in X'$  and  $\alpha \in \mathbb{C}$  such that  $H = \ker f$  and  $e^{\alpha t} T_t' f = f$  for every  $t \in \mathbb{R}_+$ . Clearly  $\{e^{\alpha t} T_t\}_{t \in \mathbb{R}_+}$  is a jointly continuous supercyclic linear semigroup on  $X$  with the same set  $\mathcal{S}$  of supercyclic vectors as the original semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$ . Fix  $u \in X$  satisfying  $f(u) = 1$ . Now fix  $s > 0$  and  $v \in \mathcal{S}$ . We have to show that  $v$  is supercyclic for  $T_s$ . By Lemma 3.3, applied to the semigroup  $\{e^{\alpha t} T_t\}_{t \in \mathbb{R}_+}$ , we can write  $v = \lambda(u + y)$ , where  $\lambda \in \mathbb{K} \setminus \{0\}$  and  $y$  is a universal vector for the jointly continuous affine semigroup  $\{R_t\}_{t \in \mathbb{R}_+}$  on  $H$  defined by the formula  $R_t x = w_t + e^{\alpha t} T_t x$  with  $w_t = (e^{\alpha t} T_t - I)u$ . By Theorem 1.3,  $y$  is universal for  $R_s$ . By Lemma 3.2,  $v = \lambda(u + y)$  is a supercyclic vector for  $e^{\alpha s} T_s$  and therefore  $v$  is a supercyclic vector for  $T_s$ . The proof is complete.

## 6 Remarks

By Lemma 4.3, universality of a strongly continuous affine semigroup implies hypercyclicity of the underlying linear semigroup. The following example shows that the converse is not true.

**Example 6.1.** Consider the backward weighted shift  $T \in L(\ell_2)$  with the weight sequence  $\{e^{-2n}\}_{n \in \mathbb{N}}$ . That is,  $Te_0 = 0$  and  $Te_n = e^{-2n}e_{n-1}$  for  $n \in \mathbb{N}$ , where  $\{e_n\}_{n \in \mathbb{Z}_+}$  is the standard basis of  $\ell_2$ . Then the jointly continuous linear semigroup  $\{S_t\}_{t \in \mathbb{R}_+}$  with  $S_t = e^{t \ln(I+T)}$  is hypercyclic. Moreover, there exists a continuous map  $t \mapsto w_t$  from  $\mathbb{R}_+$  to  $\ell_2$  such that  $\{T_t\}_{t \in \mathbb{R}_+}$  is a jointly continuous non-universal affine semigroup, where  $T_t x = w_t + S_t x$  for  $x \in \ell_2$ .

*Proof.* Since  $T$ , being a compact weighted backward shift, is quasinilpotent, the operator  $\ln(I+T)$  is well defined and bounded and  $\{S_t\}_{t \in \mathbb{R}_+}$  is a jointly continuous linear semigroup. Moreover,  $S_1 = I + T$  is hypercyclic according to Salas [4] as a sum of the identity operator and a backward weighted shift. Hence  $\{S_t\}_{t \in \mathbb{R}_+}$  is hypercyclic.

Let  $u \in \ell_2$ ,  $u_n = (n+1)^{-1}$  for  $n \in \mathbb{Z}_+$ . For each  $t \in \mathbb{R}_+$ , let  $w_t = \nu_t(T)u$ , where  $\nu_s(z) = \sum_{n=1}^{\infty} \frac{s(s-1)\dots(s-n+1)}{n!} z^{n-1}$ . Since  $T$  is quasinilpotent,  $\nu_t(T)$  are well defined bounded linear operators and the map  $t \mapsto \nu_t(T)$  is operator-norm continuous. Hence  $t \mapsto w_t$  is continuous as a map from  $\mathbb{R}_+$  to  $\ell_2$ . It is easy to verify that  $w_0 = 0$ ,  $w_1 = u$  and  $w_{t+s} = S_t w_s + w_t$  for every  $s, t \geq 0$ . By Lemma 4.1,  $\{T_t\}_{t \in \mathbb{R}_+}$  is a jointly continuous affine semigroup, where  $T_t x = w_t + S_t x$ . It remains to show that  $\{T_t\}_{t \in \mathbb{R}_+}$  is non-universal. Assume the contrary. Since  $w_1 = u$  and  $S_1 = I + T$ , Lemma 4.3 implies that the coset  $u + T(\ell_2)$  must contain a hypercyclic vector for  $I + T$ . This however is not the case as shown in [5, Proposition 7.16].  $\square$

Recall that a topological space  $X$  is called a *Baire space* if the intersection of any countable collection of dense open subsets of  $X$  is dense in  $X$ .

**Remark 6.2.** Let  $X$  be a topological vector space and  $S \in L(X)$  be hypercyclic. If  $u \in (I-S)(X)$ , then the affine map  $Tx = u + Sx$  is universal. Indeed, let  $w \in X$  be such that  $u = w - Sw$ . It is easy to show that  $T^n x = w + S^n(x - w)$  for every  $x \in X$  and  $n \in \mathbb{N}$ . Thus  $x$  is universal for  $T$  if and only if  $x - w$  is universal for  $S$ .

If additionally  $X$  is separable metrizable and Baire, then a standard Baire category type argument shows that the set of  $u \in X$  for which the affine map  $Tx = u + Sx$  is universal is a dense  $G_\delta$ -subset of  $X$ . Example 6.1 shows that this set can differ from  $X$ .

Recall that a locally convex topological vector space  $X$  is called *barrelled* if every closed convex balanced subset  $B$  of  $X$  satisfying  $X = \bigcup_{n=1}^{\infty} nB$  contains a neighborhood of 0. As we have already mentioned in the introduction, the joint continuity of a linear semigroup follows from the strong continuity if the underlying space  $X$  is an  $\mathcal{F}$ -space. The same is true for wider classes of topological vector spaces. For instance, it is sufficient for  $X$  to be a Baire topological vector space or a barrelled locally convex topological vector space [7]. Thus the following observation holds true.

**Remark 6.3.** The joint continuity condition in Theorems A, 1.2 and 1.3 can be replaced by the strong continuity, provided  $X$  is Baire or  $X$  is locally convex and barrelled.

For general topological vector spaces however strong continuity of a linear semigroup does not imply joint continuity. Moreover, the following example shows that Theorem A fails in general if the joint continuity condition is replaced by the strong continuity. Recall that the Fréchet space  $L_{\text{loc}}^2(\mathbb{R}_+)$  consists of the (equivalence classes of) scalar valued functions  $\mathbb{R}_+$ , square integrable on  $[0, c]$  for each  $c > 0$ . Its dual space can be naturally interpreted as the space  $L_{\text{fin}}^2(\mathbb{R}_+)$  of (equivalence classes of) square integrable scalar valued functions  $\mathbb{R}_+$  with bounded support. The duality between  $L_{\text{loc}}^2(\mathbb{R}_+)$  and  $L_{\text{fin}}^2(\mathbb{R}_+)$  is provided by the natural dual pairing  $\langle f, g \rangle = \int_0^\infty f(t)g(t) dt$ . Obviously the linear semigroup  $\{S_t\}_{t \in \mathbb{R}_+}$  of backward shifts  $S_t f(x) = f(x + t)$  is strongly continuous and therefore jointly continuous on the Fréchet space  $L_{\text{loc}}^2(\mathbb{R}_+)$ . It follows that the same semigroup is strongly continuous on  $L_{\sigma, \text{loc}}^2(\mathbb{R}_+)$  being  $L_{\text{loc}}^2(\mathbb{R}_+)$  endowed with the weak topology.

**Example 6.4.** Let  $X = L_{\sigma, \text{loc}}^2(\mathbb{R}_+)$  and  $\{S_t\}_{t \in \mathbb{R}_+}$  be the above strongly continuous semigroup on  $X$ . Then there is  $f \in X$  hypercyclic for  $\{S_t\}_{t \in \mathbb{R}_+}$  such that  $f$  is non-hypercyclic for  $S_1$ .

*Proof.* Let  $H$  be the hyperplane in  $L^2[0, 1]$  consisting of the functions with zero Lebesgue integral. Fix a norm-dense countable subset  $A$  of  $H$ . One can easily construct  $f \in L_{\text{loc}}^2(\mathbb{R}_+)$  such that

- (a) for every  $n \in \mathbb{N}$ , the function  $f_n : [0, 1] \rightarrow \mathbb{K}$ ,  $f_n(t) = f(n + t)$  belongs to  $A$ ;
- (b) for every  $n \in \mathbb{N}$  and  $h_1, \dots, h_n \in A$ , there is  $m \in \mathbb{N}$  such that  $h_j = f_{m+j}$  for  $1 \leq j \leq n$ .

For  $s \in \mathbb{R}_+$ , let  $\chi_s \in X' = L_{\text{fin}}^2(\mathbb{R}_+)$  be the indicator function of the interval  $[s, s + 1]$ :  $\chi_s(t) = 1$  if  $s \leq t \leq s + 1$  and  $\chi_s(t) = 0$  otherwise. By (a),  $S_1^n f \in \ker \chi_0$  for every  $n \in \mathbb{N}$  and therefore  $f$  is not a hypercyclic vector for  $S_1$ .

It remains to show that  $f$  is a hypercyclic vector for  $\{S_t\}_{t \in \mathbb{R}_+}$  acting on  $X$ . Using (a) and (b), we see that the Fréchet space topology closure of the orbit  $\{S_t f : t \in \mathbb{R}_+\}$  is exactly the set

$$O = \bigcup_{s \in [0, 1)} \bigcap_{n \in \mathbb{Z}_+} \ker \chi_{s+n}.$$

In order to show that  $f$  is hypercyclic for  $\{S_t\}_{t \in \mathbb{R}_+}$  acting on  $X$ , it suffices to verify that  $O$  is dense in  $L_{\sigma, \text{loc}}^2(\mathbb{R}_+)$ . Assume the contrary. Then there is a weakly open set  $W$  in  $L_{\text{loc}}^2(\mathbb{R}_+)$ , which does not intersect  $O$ . That is, there are linearly independent  $\varphi_1, \dots, \varphi_m \in L_{\text{fin}}^2(\mathbb{R}_+)$  and  $c_1, \dots, c_m \in \mathbb{K}$  such that

$$\max_{1 \leq j \leq m} |c_j - \langle g, \varphi_j \rangle| \geq 1 \text{ for every } g \in O.$$

Let  $k \in \mathbb{N}$  be such that each  $\varphi_j$  vanishes on  $[k, \infty)$ . Pick any  $0 < t_0 < \dots < t_m < 1$ . Note that for every  $l \in \{0, \dots, m\}$ , the restrictions of the functionals  $\varphi_j$  to  $\bigcap_{n=0}^k \ker \chi_{t_l+n}$  are not linearly independent. Indeed, otherwise we can find  $h_0 \in \bigcap_{n=0}^k \ker \chi_{t_l+n}$  such that  $\langle h_0, \varphi_j \rangle = c_j$  for  $1 \leq j \leq m$ . It is easy to see that there is  $h \in L_{\text{loc}}^2(\mathbb{R}_+)$  such that  $h|_{[0, k]} = h_0|_{[0, k]}$ ,  $h|_{[k+1, \infty)} = 0$



and  $\langle h, \chi_{t_l+k-1} \rangle = \langle h, \chi_{t_l+k} \rangle = 0$ . Then  $\langle h, \varphi_j \rangle = c_j$  for  $1 \leq j \leq m$  and  $h \in \bigcap_{n=0}^{\infty} \ker \chi_{t_l+n} \subseteq O$ . We have arrived to a contradiction with the above display.

The fact that  $\varphi_j$  are not linearly independent on  $\bigcap_{n=0}^k \ker \chi_{t_l+n}$  implies that there is a non-zero  $g_l \in \text{span}\{\varphi_1, \dots, \varphi_m\} \cap \text{span}\{\chi_{t_l}, \dots, \chi_{t_l+k}\}$ . Since  $\chi_{t_l+r}$  are all linearly independent,  $g_0, \dots, g_m$  are  $m+1$  linearly independent vectors in the  $m$ -dimensional space  $\text{span}\{\varphi_1, \dots, \varphi_m\}$ . This contradiction completes the proof.  $\square$

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